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	Application No.	Applicant(s)	7
Notice of Allowability	09/645,279	BAKER ET AL.	
	Examiner	Art Unit	
	Mary J. Steelman	2122	
The MAILING DATE of this communication ap All claims being allowable, PROSECUTION ON THE MERITS herewith (or previously mailed), a Notice of Allowance (PTOL-8 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3	IS (OR REMAINS) CLOSED in to the state of th	nis application. If not included cation will be mailed in due course. <b>TH</b> I	∣ <b>S</b> ative
1. X This communication is responsive to Amendment dated	<u>20 July 2004</u> .		
2. $\boxtimes$ The allowed claim(s) is/are <u>1-13,15,16,18 and 19</u> .			
3.  The drawings filed on are accepted by the Exami	ner.		
4. Acknowledgment is made of a claim for foreign priority a) All b) Some* c) None of the:  1. Certified copies of the priority documents hat 2. Certified copies of the priority documents hat 3. Copies of the certified copies of the priority (International Bureau (PCT Rule 17.2(a))).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. A SUBSTITUTE OATH OR DECLARATION must be substituted in the substitute of t	ave been received.  ave been received in Application documents have been received in E" of this communication to file a NMENT of this application.  Domitted. Note the attached EXAM lives reason(s) why the oath or donust be submitted.  Derived a received in Application to file a NMENT of this application.  The submitted is a received in the submitted in the header according to 37 CFR posit of BIOLOGICAL MATER	No  In this national stage application from the reply complying with the requirements  INER'S AMENDMENT or NOTICE OF eclaration is deficient.  PTO-948) attached  the Office action of drawings in the front (not the back) of 1.121(d).	€
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SE Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposi of Biological Material	B) 6. ☑ Interview Sun Paper No./M B/08), 7. ☑ Examiner's Al t 8. ☑ Examiner's St 9. ☐ Other	mal Patent Application (PTO-152) Imary (PTO-413), ail Date <u>14 October 2004</u> . Inendment/Comment atement of Reasons for Allowance	
	Al	ITONY NGUYEN-BA	

U.S. Patent and Trademark Office PTOL-37 (Rev. 1-04) PRIMARY EXAMINER

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### **DETAILED ACTION**

- 1. This action is in response to Amendment dated 20 July 2004 and phone interview held 14 October 2004.
- 2. Claims 14 and 17 have been canceled. Per Amendment dated 20 July 2004, claims 1, 2, 5-8, 10, 13, 16, and 18 have been amended. Additionally, as noted below, via an Examiner's Amendment, claims 1, 2, 5-8, 10, 13, 16, and 18 are further amended, and claim 17 is cancelled. Thus, claims 1-13, 15, 16, 18, and 19 are pending.

## **Drawings**

3. Formal Drawings must be submitted. New corrected drawings in compliance with 37 CFR 1.121(d) are required in this application because drawings on file are informal. Applicant is advised to employ the services of a competent patent draftsperson outside the Office, as the U.S. Patent and Trademark Office no longer prepares new drawings. The corrected drawings are required in reply to the Office action to avoid abandonment of the application. The requirement for corrected drawings will not be held in abeyance.

## 4. INFORMATION ON HOW TO EFFECT DRAWING CHANGES

#### **Replacement Drawing Sheets**

Drawing changes must be made by presenting replacement figures which incorporate the desired changes and which comply with 37 CFR 1.84. An explanation of the changes made must be

presented either in the drawing amendments, or remarks, section of the amendment. Any replacement drawing sheet must be identified in the top margin as "Replacement Sheet" (37 CFR 1.121(d)) and include all of the figures appearing on the immediate prior version of the sheet, even though only one figure may be amended. The figure or figure number of the amended drawing(s) must not be labeled as "amended." If the changes to the drawing figure(s) are not accepted by the examiner, applicant will be notified of any required corrective action in the next Office action. No further drawing submission will be required, unless applicant is notified.

Identifying indicia, if provided, should include the title of the invention, inventor's name, and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin.

### **Annotated Drawing Sheets**

A marked-up copy of any amended drawing figure, including annotations indicating the changes made, may be submitted or required by the examiner. The annotated drawing sheets must be clearly labeled as "Annotated Marked-up Drawings" and accompany the replacement sheets.

#### **Timing of Corrections**

Applicant is required to submit acceptable corrected drawings within the time period set in the Office action. See 37 CFR 1.85(a). Failure to take corrective action within the set period will result in ABANDONMENT of the application.

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If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for reply in the "Notice of Allowability." Extensions of time may NOT be obtained under the provisions of 37 CFR 1.136 for filing the corrected drawings after the mailing of a Notice of Allowability.

5. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with David W. Rouille, Esq., Reg. No. 40,150 on 14 October 2004. The application has been amended as follows:

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## IN THE CLAIMS:

1. (Currently amended) A method of providing a software testing service comprising the steps of:

receiving, by a service provider, at least one <u>reusable</u> object oriented software component to be tested from a customer, wherein the at least one <u>reusable</u> object oriented software component includes software instructions;

providing, by said service provider, test code for testing said at least one <u>reusable</u> object oriented software component <u>and wherein said step of providing further comprises the step of determining the parameters of the data sets to test a reusable object oriented software component by examining the interface of each method in the reusable object oriented software component and determining what inputs and outputs must be specified for that reusable object oriented software component;</u>

testing with said test code said at least one <u>reusable</u> object oriented software component; monitoring, by said service provider, results of said testing; and providing, by said service provider, the results of said testing of said <u>reusable</u> object oriented software component to said customer.

2. (Currently amended) The method of claim 1 further comprising the step of charging said customer for providing said reusable object oriented software component testing service.

- 3. (Original) The method of claim 2 wherein said step of charging is performed on a per session basis.
- 4. (Original) The method of claim 2 wherein said step of charging is performed on a periodic basis.
- 5. (Currently amended) The method of claim 1 wherein said step of receiving the at least one reusable object oriented software component to be tested is done over a network.
- 6. (Currently amended) The method of claim 5 wherein said step of receiving the at least one reusable object oriented software component to be tested is done over a TCP/IP network.
- 7. (Currently amended) The method of claim 5 wherein said step of receiving the at least one reusable object oriented software component to be tested is done over the Internet.
- 8. (Currently amended) The method of claim 1 wherein said step of receiving the at least one reusable object oriented software component to be tested further comprises the step of receiving directions regarding the type of testing to be done on said at least one reusable object oriented software component.

9. (Original) The method of claim 8 wherein said step of receiving directions regarding the type of testing to be done is selected from the group consisting of functional testing, regression

testing, load testing and compliance testing.

10. (Currently amended) The method of claim 1 wherein said step of receiving the at least one reusable object oriented software component to be tested further comprises the step of receiving

directions regarding how to process results from said testing.

11. (Original) The method of claim 1 wherein at least a portion of the results from said testing is

provided in a pass/fail format.

12. (Original) The method of claim 1 wherein at least a portion of the results from said load

testing are provided in a graphical format.

13. (Currently amended) The method of claim 1 wherein said at least one reusable object

oriented software component to be tested comprises an reusable object oriented software

component which can be interpreted by a web browser.

14. Previously Cancelled.

15. (Original) The method of claim 1 wherein said software testing service is provided as a web

site.

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16. (Currently amended) The method of claim 1 wherein said step of providing further

comprises the step of providing access to multiple containers for testing the performance of said

reusable object oriented software component in different ones of said multiple containers,

wherein each of the multiple containers comprises one or more portions of software code

associated with one or more respective application servers.

17. Cancelled

18. (Currently amended) The method of claim 1 wherein said step of testing further comprises

the step of testing selected ones of the at least one reusable object oriented software components

typically used through a graphical user interface by building test drivers for the selected ones of

the at least one reusable object oriented software components and testing without the graphical

user interface.

19. (Previously Presented) The method of claim 1 further comprising the step of using a test

warehouse to store test data for customers for later use by the customers.

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# Allowable Subject Matter

6. Claims 1-13, 15, 16, 18, and 19 are allowed.

7. The following is an examiner's statement of reasons for allowance:

As Applicant has pointed out on page 6, 4<sup>th</sup> and 5<sup>th</sup> paragraphs of Remarks/Amendment filed 20 July 2004, "The components of Guheen are not the same components claimed in the present application. The present application relates to testing of object oriented software components." In contrast, the closest prior art, "Guheen discloses testing the operability of system applications." In a phone interview initiated by the Examiner, agreement was made to more specifically claim the invention by amending claim 1 to include elements formerly in claim 17.

Thus the limitations:

"receiving, by a service provider, at least one reusable object oriented software component to be tested from a customer, wherein the at least one reusable object oriented software component includes software instructions;

providing, by said service provider, test code for testing said at least one reusable object oriented software component and wherein said step of providing further comprises the step of determining the parameters of the data sets to test a reusable object oriented software component by examining the interface of each method in the reusable object oriented software component and determining what inputs and outputs must be specified for that reusable object oriented

software component" of independent claim 1 are not found in the prior art of record and would not have been obvious.

Therefore, all remaining dependent claims, claims 2-13, 15, 16, 18, and 19 are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mary Steelman, whose telephone number is (703) 305-4564. The examiner can normally be reached Monday through Thursday, from 7:00 AM to 5:30 PM If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Dam can be reached on (703) 305-4552. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

After October 25, 2004, examiner can be reached at new telephone number (571) 272-3704. Supervisor, Tuan Q. Dam can be reached at (571) 272-3694.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mary Steelman

10/14/2004

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